

**Lecture 16**

**Theorem.** Let  $R$  be an affine  $k$ -algebra (quotient of a polynomial ring). Then

$$\dim R = \text{tr deg}_k R = \text{tr deg}_k Q(R)$$

*Proof.* Let  $r$  be the transcendence degree over  $k$  of  $R$ . We will prove  $r \geq \dim R$ . By the Going-Up Theorem,  $R = k[x_1, \dots, x_n]/\mathfrak{p}$ . If  $r = 0$ , then that implies  $R$  is a field, so that  $\dim R = 0$ . Let  $S = k[x_1, \dots, x_n]$ . Then it suffices to show if  $P \subset Q \subset S$  with  $P \neq Q$  then  $S/P \rightarrow S/Q$  surjectively.

We claim that  $\text{tr deg}_k S/Q < \text{tr deg}_k S/P$ . By surjection, the inequality  $\leq$  is apparent. So assume we have equality. Write  $S/Q = k[\beta_1, \dots, \beta_n]$  and  $S/P = k[\alpha_1, \dots, \alpha_n]$ , where  $\beta_i$  and  $\alpha_i$  are the appropriate images of  $x_1, \dots, x_n$ . Let  $m = \text{tr deg}_k S/Q$ . Then  $\beta_1, \dots, \beta_m$  form a transcendence basis over  $k$  for  $S/Q$  and that implies  $\alpha_1, \dots, \alpha_m$  form a transcendence basis over  $k$  for  $S/P$ . Now pick the multiplicative system  $T = k[x_1, \dots, x_n] - \{0\} \subset S$ . We would like to localize. Notice  $T \cap P = \emptyset$  and  $T \cap Q = \emptyset$ ; otherwise, the  $\alpha_1, \dots, \alpha_m$  and  $\beta_1, \dots, \beta_m$  wouldn't be algebraically independent. Then  $T^{-1}S = k(x_1, \dots, x_m)[x_{m+1}, \dots, x_n]$ . Then

$$T^{-1}S/P(T^{-1}S) = k(\alpha_1, \dots, \alpha_m)[\alpha_{m+1}, \dots, \alpha_n]$$

and it

$$\text{ht } p + \text{coht } p = \dim R.$$

*Proof.* By Noether normalization,

$$k \subseteq k[Z_1, \dots, Z_r] \subseteq R,$$

with  $r = \text{tr deg}_k R = \dim R$ . Let  $\text{ht } p = h$ . By homework exercise,  $R \subset S \subseteq Q$  with  $P = Q \cap R$  and  $R \subset S$  an integral extension,  $\dim R = \dim S$ ,  $\text{ht } p = \text{ht } Q$ , and  $\text{coht } P = \text{coht } Q$ . We can assume  $R = k[Z_1, \dots, Z_r]$ .

*Hint:* The previous argument shows that  $\exists y_1, \dots, y_r$  such that  $R$  is integral over  $k[y_1, \dots, y_r]$  having the property  $\mathfrak{p} \cap k[y_1, \dots, y_r] = (y_1, \dots, y_h)$  (improved version of Noether normalization). Then  $\text{ht}(y_1, \dots, y_r) = h$ ,  $\text{coht}(y_1, \dots, y_r) = r - h$  so the sum is  $r$ .

## Lecture 17

### Graded rings and modules

If  $A^N$  is a graded ring,  $S$  a collection of groups,  $(S_d)_{d \in \mathbb{N}}$  such that  $S = \bigoplus_{d > 0} S_d$

homogeneous of degree  $d$ , and  $S_d S_e \subseteq S_{d+e}$ . In part,  $S_0$  is a ring,  $S$  is an  $S_0$ -algebra.

**Example.** If  $S = R[x_1, \dots, x_n]$  is graded,  $\deg R = 0$  and  $\deg x_i = 1$  with

$$S = \bigoplus_{d \geq 0} R[x_1, \dots, x_n]_d,$$

where each term is the ring of homogeneous polynomials of degree  $d$ . There exist many other gradings on polynomial rings, by assigning  $\deg x_i = e_i \in \mathbb{N}$ .

**Example.** Look at  $S = k[x_1, \dots, x_n]/\mathcal{I} = \bigoplus_{d \geq 0} k[x_1, \dots, x_n]_d / \mathcal{I}_d$  where  $\mathcal{I}$  is a homogeneous ideal (generated by homogeneous elements).

Fix  $S$  graded. Then a graded  $S$ -module  $M$  is a collection of Abelian groups  $\{M_e\}_{e \in \mathbb{N}}$  such that  $M = \bigoplus_{e \geq 0} M_e$ . The operation  $S_d M_e \subseteq M_{d+e}$ . In part, each  $M_e$  is an  $S_0$ -module.

**Example.**  $M = k[x_1, \dots, x_n]/\mathcal{I}$  is a graded module over  $k[x_1, \dots, x_n]$ .

We will now introduce the Hilbert polynomial and function.

**Definition.** The function  $f: \mathbb{N} \rightarrow \mathbb{Q}$  is called polynomial-like if there exists a polynomial  $P \in \mathbb{Q}[x]$  such that  $f(n) = P(n)$  for  $n \gg 0$ . Furthermore,  $\deg f = \deg P$ .

**Lemma.** For  $f: \mathbb{N} \rightarrow \mathbb{Q}$  a function, define  $\Delta f: \mathbb{N} \rightarrow \mathbb{Q}$  to be  $\Delta f(n) = f(n+1) - f(n)$ . Then  $f$  is polynomial-like of degree  $r$  if and only if  $\Delta f$  is polynomial-like of degree  $r - 1$ . ( $\deg 0 = -1$ )

*Proof.* First, for all  $p \geq q \in \mathbb{N}$ ,  $f(p) - f(q) = \sum_{k=q}^{p-1} \Delta f(k)$ . Furthermore, for every  $r \in \mathbb{N} - \{0\}$ ,  $\Delta \left( \frac{n!}{r!} \right) = \frac{r!n!}{(r-1)!}$ . We can use these two facts to obtain the lemma.  $\square$

*Note:* For a finitely-generated graded module  $M$  decomposable into submodules, we can always assume the generators of  $M$  are homogeneous.

**Theorem.** Let  $S = \bigoplus_{d \geq 0} S_d$  be a graded ring such that  $S_0 = k$  a field, and  $S$  is finitely generated over  $k$  (as an algebra) by  $a_1, \dots, a_r \in S_1$ . Then for every finitely generated graded module  $M = \bigoplus_{n \geq 0} M_n$  over  $S$ , the function  $h_M(n) := \dim_k M_n$  is polynomial-like of degree less than  $r$ .

*Proof.* We can use induction on  $r$ . If  $r = 0$ , then  $S = S_0 = k$  is a field. Take  $M$  to be a finitely generated module, then say by  $x_1, \dots, x_k$ ,  $\deg d_1 \leq \dots \leq d_k$ . That implies  $M_n = 0$  for all  $n > d_k$  so  $h_M(n) = 0$  (degree -1).

Now assume  $r > 0$ . Consider  $\varphi_r : M \rightarrow M$  given by multiplication by  $a_r$ . Then  $a_r \in S_1$  (has degree 1), so  $\varphi_r(M_n) \subseteq M_{n+1}$ . Then for all  $n$ , we have an exact sequence

$$0 \rightarrow K_n = \ker(\varphi_r) \rightarrow M_n \xrightarrow{\varphi_r} M_{n+1} \rightarrow C_n = \text{co ker}(\varphi_r) \rightarrow 0.$$

Then  $K := \bigoplus_{n \geq 0} K_n$  and  $C := \bigoplus_{n \geq 0} C_n$  are graded modules over  $S$ . Then  $C \subseteq M \twoheadrightarrow K$  so that both  $C$  and  $K$  are finitely generated algebras over  $R \rightsquigarrow h_C(n), h_K(n)$  are well-defined, so that  $\dim_k K_n - \dim_k M_n + \dim_k M_{n+1} - \dim_k C_n = 0$ . Hence,

$$\Delta h_M(n) = h_M(n+1) - h_M(n) = h_C(n) - h_K(n).$$

Then by construction,  $a_r \cdot K = 0$  and  $a_r \cdot C = 0$ . So in fact,  $K$  and  $C$  are graded modules over  $S' = k[a_1, \dots, a_{r-1}] \subsetneq S$ . Then by induction,  $h_C$  and  $h_K$  are polynomial-like of degree  $\leq r - 2$  so that  $\Delta h_M$  is as well and hence  $h_M$  is polynomial-like of degree less than  $r$  by our lemma.  $\square$

**Definition.** The function  $h_M$  given in the previous theorem is the Hilbert function of  $M$ . If  $h_M(n) = P_M(n)$  for  $n \gg 0$ ,  $P_M$  is the Hilbert polynomial of  $M$ .

**Example.** If  $S = k[x_1, \dots, x_n] = \bigoplus_{m \geq 0} S_m$ , then  $S_m = k[x_1, \dots, x_n]_m = \{ \text{space of homogeneous polynomials of degree } m \}$  and

$$h_S(m) = \binom{n-1+m}{m} = \binom{n-1+m}{n-1} = \frac{(m+n-1) \dots (m+1)}{(n-1)!} = \frac{1}{(n-1)!} m^{n-1} + \underbrace{\mathcal{O}(m^{n-2})}_{\text{remainder}}.$$

*Remark:* Notice  $\dim S = \deg h_S + 1$ .

## Lecture 18

### Artinian Rings

**Definition.** A ring  $R$  is Artinian if it satisfies the descending chain condition (DCC) on ideals, i.e., there exists a decreasing chain of ideals  $I_1 \supseteq \dots \supseteq I_m \supseteq \dots$  so that there exists an  $n \in \mathbb{Z}^+$  such that the chain stabilizes after  $n$ , that is,  $I_n = I_{n+1} = \dots$  holds. The same definition holds for modules with respect to inclusions of submodules.

**Examples.** (1)  $\mathbb{Z}$  is not Artinian.

(2)  $\mathbb{Z}/d\mathbb{Z}$  is Artinian.

(3)  $k[x_1, \dots, x_n]/(x_1, \dots, x_n)^m$  with  $m \geq 1$  is Artinian.

(4) Product of fields  $k_1 \times \dots \times k_r$  for  $r \geq 2$  and  $k_i$  fields.

**Lemma 1.** If  $R$  is Artinian and a domain, then  $R$  is a field.

*Proof.* Pick  $a \in R$ . Then we have a chain  $(a) \supseteq (a^2) \supseteq \dots \supseteq (a^m) \supseteq \dots$ . By the DCC, there exists an  $n$  such that  $(a^n) = (a^{n+1})$  which implies there is a  $b \in R$  so that  $a^n = ba^{n+1}$ , which means  $a^n(1 - ba) = 0$ , so that  $a$  has an inverse  $b$ .  $\square$

**Lemma 2.** If  $R$  is Artinian, then every prime ideal in  $R$  is maximal, and there are only finitely many.

*Proof.* If  $\mathfrak{p} \subseteq R$  is a prime, then  $R/\mathfrak{p}$  is Artinian and a domain, so by the previous lemma, it is a field, and hence  $\mathfrak{p}$  is maximal. To show there are finitely many, notice the family

$$\{\underline{m}_1 \cap \dots \cap \underline{m}_k \mid \underline{m}_i \text{ maximal in } R\}$$

has a minimal element with respect to inclusion. Now say  $I = \underline{m}_1 \cap \dots \cap \underline{m}_k$  is minimal. Then take  $\underline{m} \subseteq R$  to be maximal. Then  $\underline{m} \cap I = \underline{m} \cap \underline{m}_1 \cap \dots \cap \underline{m}_k \in \mathcal{F}$ . But  $\underline{m} \cap I \subseteq I$  is minimal so that  $\underline{m} \cap I = I$ . But then  $\underline{m} \subseteq \underline{m}_1 \cap \dots \cap \underline{m}_k$  where  $\underline{m}$  and each  $\underline{m}_i$  are prime. Hence,  $\exists i$  such that  $\underline{m} = \underline{m}_i$ .  $\square$

*Remark:* We can use this lemma to show that all Artinian rings are a finite product of local Artinian rings. (i.e., Chinese Remainder Theorem).

**Definition.** If  $R$  is a ring and  $M \neq 0$  is an  $R$ -module, then  $M$  is simple if it has no submodules different from 0 and itself. Then  $Rx \subseteq M$  for  $M$  simple implies  $Rx \cong M$ , and hence  $Rx \cong R/\text{Ann}(x)$ . Hence  $M$  is simple if and only if  $\text{Ann}(x)$  is maximal. Hence,  $M$  simple implies  $M \cong R/m$  for some maximal ideal  $m$ .

**Definition.** A composition series of  $M$  is a finite filtration:

$$M = M_0 \supseteq M_1 \supseteq \dots \supseteq M_n = 0$$

such that  $M_i/M_{i+1}$  is simple for all  $i = 0, \dots, n-1$ .

**Jordan-Hölder Theory**

If the composition series exists, then the length of any two is the same:

$$\ell_R(M) = \text{length}(M) = \begin{cases} \text{length of any such series} & \text{if a composition series exists} \\ \infty & \text{otherwise.} \end{cases}$$

Furthermore  $\ell_R(M) < \infty$  if and only if  $M$  is Artinian and Noetherian. Also,

$$0 \rightarrow M \rightarrow N \rightarrow P \rightarrow 0 \text{ implies } \ell_R(N) = \ell_R(M) + \ell_R(P)$$

for an exact sequence of  $R$ -modules. If  $M$  is a  $k$ -vector space, then  $\ell(M) = \dim_k M$ .

**Example.** For  $(R, \underline{m})$ ,

$$\begin{aligned} R &\supseteq \underline{m} \supseteq \underline{m}^2 \supseteq \dots \\ R/\underline{m} \oplus \underline{m}/\underline{m}^2 \oplus \underline{m}^2/\underline{m}^3 \oplus \dots \\ \underline{m} \supseteq I \supseteq \underline{m}^k &\Rightarrow R/I \oplus I/I^2 \oplus I^2/I^3 \oplus \dots \end{aligned}$$

$\underline{m}^k/\underline{m}^{k+1}$  has finite length ( $\dim_{R/\underline{m}} \underline{m}^k/\underline{m}^{k+1} < \infty$ ). Then  $\underline{m}^n = \underline{m}^{n+1}$  implies that  $\underline{m}^n = 0$  by Nakayama's Lemma. Then

$$\ell(R) = \ell(R/\underline{m}) + \ell(\underline{m}/\underline{m}^2) + \dots + \ell(\underline{m}^{n-1}/\underline{m}^n).$$

Then  $\underline{m} = (x_1, \dots, x_n)$  (a system of parameters), and  $\underline{m}^k/\underline{m}^{k+1} = \{ \text{homogeneous polynomials of degree } k \text{ in } n \text{ variables} \}$ . Then  $\dim_{R/\underline{m}} \underline{m}^k/\underline{m}^{k+1} = \binom{n-1+k}{k}$ .

**Proposition.** For  $M$  a finitely-generated module and  $R$  a Noetherian ring, the following are equivalent:

- (1)  $\ell_R(M) < \infty$
- (2) All primes in  $\text{Ass}(M)$  are maximal.
- (3) All primes in  $\text{Supp}(M)$  are maximal.

*Remark:* Notice this implies  $\text{Ass}(M) = \text{Supp}(M)$

*Proof.* [(1)  $\Rightarrow$  (2)] By our earlier lemma, there is a filtration  $M = M_0 \supseteq \dots \supseteq M_n = 0$  such that  $M_{i-1}/M_i \cong R/\mathfrak{p}_i$  for  $\mathfrak{p}_i$  prime, with  $\text{Ass}(M) \subseteq \{\mathfrak{p}_1, \dots, \mathfrak{p}_n\}$ , and

$$\infty > \ell_R(M) = \sum \ell_R(M_{i-1}/M_i) = \sum \ell_R(R/\mathfrak{p}_i).$$

But then  $\infty > \ell_R(R/\mathfrak{p}_i)$  so that  $R/\mathfrak{p}_i$  is an Artinian  $R$ -module, and it must also be a domain. Hence  $\mathfrak{p}_i$  is maximal by the earlier lemma.

[(2)  $\Rightarrow$  (3)] We know  $\text{Ass}(M) \subseteq \text{Supp}(M)$ , and they have the same minimal primes. Pick a prime  $Q \in \text{Supp}(M)$ . Whether or not it is minimal,  $\exists P \subseteq Q$  that is minimal, so this means that  $P \in \text{Ass}(M)$  meaning it is maximal, and hence  $Q$  is maximal.

[(3)  $\Rightarrow$  (1)] Exercise:  $\forall \mathfrak{p}_i$  they are contained in  $\text{Supp}(M)$ . If  $\mathfrak{p}_i$  are all maximal, then  $R/\mathfrak{p}_i$  is all fields, so  $\ell_R(R/\mathfrak{p}_i) = 1$  and hence we have a composition series, and  $\ell_R(M) = n < \infty$ .  $\square$

## Lecture 19

**Theorem A.** Let  $R$  be a Noetherian ring. The following are equivalent:

- (i)  $R$  is Artinian.
- (ii) Every prime is maximal.
- (iii) Every associated prime is maximal.

*Proof.* We know (i) implies (ii) from lemma 2 last time; (ii) implies (iii) is obvious; and (iii) implies (i) is true by (2) implies (1) in the proposition from last time.  $\square$

**Theorem B.** A ring  $R$  is Artinian if and only if  $\ell_R(R) < \infty$ .

*Proof.* Let  $\ell_R(R) < \infty$ . Then obviously  $R$  is Artinian and Noetherian. Now we claim there exist maximal ideals  $\underline{m}_1, \dots, \underline{m}_k$  such that  $\underline{m}_1 \cdot \dots \cdot \underline{m}_k = 0$  (since then  $\underline{m}_1 \dots \underline{m}_k \supseteq \underline{m}_1 \dots \underline{m}_k \underline{m}_{k+1}$  has to stop by the descending chain condition, so apply Nakayama's Lemma). We have  $R \supseteq \underline{m}_1 \supseteq \underline{m}_1 \underline{m}_2 \supseteq \dots \supseteq \underline{m}_1 \dots \underline{m}_k = 0$ . Then each  $N_i = \underline{m}_1 \dots \underline{m}_{i-1} / \underline{m}_1 \dots \underline{m}_i \rightsquigarrow R / \underline{m}_i$ -moduli (vector space). Notice  $IM = 0 \implies M$  is an  $R/I$ -module. Also,  $\ell_{R/\underline{m}_i}(N_i) < \infty$  implies  $\ell_R(N_i) < \infty$  (because  $R$  is Artinian), and then the fact  $\ell_r$  is additive in filtrations implies  $\ell_R(R) < \infty$ .  $\square$

**Theorem C.** A ring  $R$  is Artinian if and only if  $R$  is Noetherian and every prime ideal is maximal.

*Proof.* We proved the adverse in theorem A. By theorem B,  $\ell_R(R) < \infty$  so that  $R$  is Noetherian, and then by Theorem A we know each prime ideal is maximal.  $\square$

### Hilbert function and dimension

We can now look at graded rings of the form  $S = \bigoplus_{d \geq 0} S_d$  with  $S_0$  Artinian. Then there exists a Hilbert polynomial of positive degree such that  $S$  is generated by  $S_1/S_0$ .

**Definition.** If  $(R, \underline{m})$  is a local ring, then an ideal of definition for  $R$  is  $I \subseteq R$  such that there exists a  $k \geq 1$  with  $\underline{m}^k \subseteq I \subseteq \underline{m}$ .

**Lemma.** An ideal  $I$  is of definition if and only if  $R/I$  is Artinian.

*Proof.* (Sketch)  $I$  is an ideal of definition if and only if  $\text{rad}(I) = \underline{m}$  (so there does not exist non-maximal primes containing  $I$ ).  $\square$

**Definition.** If  $I \subseteq (R, \underline{m})$  is an ideal of definition with  $M$  a finitely-generated  $R$ -module, then the associated graded ring  $\text{gr}_I(R) = \bigoplus_{n \geq 0} I^n / I^{n+1}$ . The associated graded module  $\text{gr}_I(M) = \bigoplus_{n \geq 0} I^n M / I^{n+1} M$ .

*Remark.* If  $a_1, \dots, a_r$  are generators for  $I$ , then  $\bar{a}_1, \dots, \bar{a}_r$  generate  $I^m / I^2$ .

$$\text{gr}_I(R) \text{ over } qr_0 = R/I.$$

- $R/I$  is Artinian, as before.
- If  $M/IM$  is finitely generated over  $R/I$  then it is Artinian, which implies for all  $k \geq 1$ ,  $\ell_R(R/I^k) < \infty$ ,  $\ell_R(M/IM) < \infty$  and so  $\ell_R(I^{k-1}M/I^kM) < \infty$  ( $I^k$  is also an ideal of definition).
- $h_{gr_I(M)}(n) = \ell_R(I^n M/I^{n+1}M)$ . By the Hilbert polynomial theorem, this is polynomial-like of degree  $\leq r - 1$  (for  $I = (a_1, \dots, a_r)$ ).

**Definition.** The Hilbert-Samuel function of  $M$  (with respect to  $I$ ) is

$$S_M^I(n) = \ell_R(M/I^n M) < \infty.$$

**Proposition.** The Hilbert-Samuel function is polynomial-like of degree  $\leq r$ .

*Proof.* There exists an exact sequence

$$0 \rightarrow I^n M/I^{n+1}M \rightarrow M/I^{n+1}M \rightarrow M/I^n M \rightarrow 0.$$

So that for all  $n$ ,  $\Delta S_M^I(n) = S_M^I(n+1) - S_M^I(n) = h_{gr_I(M)}(n)$  and so by the earlier bullet point statement,  $S_M^I$  is polynomial-like of degree  $\leq r$ . (where  $\Delta S_M^I$  is as defined in the lemma in Lecture 17)  $\square$

**Proposition.** The degree of  $S_M^I(n)$  does not depend on  $I$  (call it  $d(M)$ ).

*Proof.* Start with the fact  $I$  is an ideal of definition, i.e., there is a  $k$  such that  $\underline{m}^k \subseteq I \subseteq \underline{m}$ . Then we can look at  $S_M^I$  and  $S_{\underline{m}}^{\underline{m}}$ , and if we can prove they are equal we're done since the latter is ideal invariant. For each  $p \geq 1$ , we get  $\underline{m}^{kp} \subseteq I^p \subseteq \underline{m}^p$ . Then  $S_{\underline{m}}^{\underline{m}}(kp) \geq S_M^I(p) \geq S_{\underline{m}}^{\underline{m}}(p)$  for every  $p$ , so  $\deg S_M^I = \deg S_{\underline{m}}^{\underline{m}}$ .  $\square$

## Lecture 20

**Proposition.** Setting as above [last time], for any exact sequence of finitely generated  $R$ -modules,  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$ , we have  $S_{M'}^I(n) + S_M^I(n) = S_{M''}^I(n) + r(n)$  where  $r(n)$  is polynomial like of degree  $< d(M)$ , with non-negative leading coefficients.

*Proof.* We have an exact sequence

$$0 \rightarrow M'/(M' \cap I^n M) \rightarrow M/I^n M \rightarrow M''/I^n M'' \rightarrow 0.$$

Let's say  $M'_n := M' \cap I^n M$ . From the above sequence, we get by the additivity of the Hilbert function that  $\ell_R(M'/M'_n)$  (implies  $\ell_R(M'/M'_n)$  is polynomial-like). Now notice for all  $m$ ,  $I^{n+m}M' \subseteq I^{n+m}M \cap M' = M'_{n+m}$  (since  $M' \subset M$ ). The Artin-Reese lemma states there exists an  $m$  such that for each  $n \geq m$ ,  $IM'_n = M'_{n+1}$  with  $(I^k(M' \cap I^n M)) = M' \cap I^{n+k}M$ . Hence, we get  $I^{n+m}M' \subseteq M'_{n+m} = I^n M m'$  [Artin-Reese Lemma]  $\subseteq I^n M'$ . Therefore,  $\ell_R(M'/I^{n+m}M') \geq \ell_R(M'/M'_{n+m}) \geq \ell_R(M'/I^n M')$ . Notice the first term in this inequality equals  $S_{M'}^I(n+m)$  and the latter  $S_{M'}^I(n)$ . Then make

$n \rightarrow \infty$  and we get that  $S_{M'}^I(n)$  and  $\ell_R(M'/M'_n)$  have the same degree and same leading coefficient. Then define  $r(n) := \ell_R(M'/M'_n) - S_{M'}^I(n)$ . This is a polynomial-like term of degree  $< d(M') \leq d(M)$  with a non-negative leading coefficient.  $\square$

Let  $M$  be a finitely generated module over  $R$ . Then

$$\dim R = \begin{cases} \dim(R/\text{Ann}(M)) & \text{if } M \neq 0 \\ -1 & M = 0 \end{cases}.$$

**Lemma.** The following are equivalent:

- (1)  $\dim M = 0$       (2)  $\ell_R(M) < \infty$       (3) All primes  $\mathfrak{p} \in \text{Supp}(M)$  are maximal.  
 (4) All associated primes  $\mathfrak{p} \in \text{Ass}(M)$  are too.

**Definition.** If  $(R, \underline{m})$  is a Noetherian local ring with  $M$  finitely generated over  $R$ , the Chevalley dimension of  $M$  is

$$\delta(M) := \min\{r \in \mathbb{N} \mid \exists a_1, \dots, a_r \in \underline{m} \text{ s.t. } \ell_R(M/(a_1, \dots, a_r)M) < \infty\}.$$

This definition makes sense because  $\ell_R(M/\underline{m}M) < \infty$ .

**Theorem.** (*Dimension Theorem*) If  $M$  is finitely generated over  $(R, \underline{m})$  a Noetherian local ring, then  $\dim M = d(M) = \delta(M)$ .

**Corollary 1.** The  $\dim M < \infty$  for any  $M$  a finitely generated module over  $R$ . In particular,  $\dim R < \infty$ .

**Corollary 2.** Each  $\mathfrak{p} \subseteq R$  prime has finite height, so the set of primes in  $R$  satisfy the descending chain condition.

*Proof.*  $\dim R_{\mathfrak{p}} = \text{ht } \mathfrak{p}$ .  $\square$

**Corollary 3.**  $\dim R \leq \dim_k \underline{m}/\underline{m}^2$  where  $k = R/\underline{m}$  (embedding dim of  $R$ ).

*Proof.* If  $\bar{a}_1, \dots, \bar{a}_r$  is a basis of  $\underline{m}/\underline{m}^2$ , then  $a_1, \dots, a_r$  generate  $\underline{m}$  so by corollary 1,  $\dim R \leq r$ .  $\square$

**Corollary 4.** The  $\dim k[[x_1, \dots, x_n]] = n$  for  $k$  a field. Then  $(x_1, \dots, x_n) = \underline{m}$  implies by corollary 1 that  $\dim R \leq n$ . Furthermore,  $(0) \subseteq (x_1, x_2) \subseteq \dots \subseteq (x_1, \dots, x_n)$  implies  $\dim R \geq n$ .

## Lecture 22

**Theorem.** (*Generalized Krull principal ideal theorem*) If  $R$  is a Noetherian local ring and  $\mathfrak{p} \subseteq R$  is a prime, the following are equivalent:

- (1)  $\text{ht } \mathfrak{p} \leq n$  (# of generators).



(2)  $\exists$  ideals  $I \subset R$  generated by  $n$  elements such that  $\mathfrak{p}$  is minimal over  $I$ .

*Proof.* [(1)  $\Rightarrow$  (2)] We have  $\dim R_{\mathfrak{p}} = \text{ht } \mathfrak{p} \leq n$ . Then there exists  $J \subseteq R_{\mathfrak{p}}$  generated by  $(\frac{a_1}{s}, \dots, \frac{a_n}{s})$ ,  $a_i \in R$  such that  $J$  is an ideal of definition for  $R_{\mathfrak{p}}$ . But then

$$(\mathfrak{p}R_{\mathfrak{p}})^k \subseteq J \subseteq \mathfrak{p}R_{\mathfrak{p}} \Leftrightarrow J \text{ is } \mathfrak{p}R_{\mathfrak{p}}\text{-primary,}$$

so that  $I = (a_1, \dots, a_n) \subseteq \mathfrak{p}$  a minimal prime. So then in  $R_{\mathfrak{p}}$ ,  $IR_{\mathfrak{p}}$  is  $\mathfrak{p}R_{\mathfrak{p}}$ -primary which means  $IR_{\mathfrak{p}}$  is an ideal of definition so that  $\dim R_{\mathfrak{p}} \leq n$ .

**Theorem.** (*Krull principal ideal theorem*) If  $R$  is Noetherian with  $x \notin Z(R)$  and  $x \notin R^*$ , then for every minimal prime  $\mathfrak{p}$  over  $(x)$ ,  $\text{ht } \mathfrak{p} = 1$ .

*Proof.* Since  $x \notin R^*$ , by the previous theorem  $\text{ht } \mathfrak{p} \leq 1$ . Assume  $\text{ht } \mathfrak{p} = 0$ . But we know that  $R_{\mathfrak{p}} \neq 0$ . Notice if  $\frac{x}{1} = 0 \in R_{\mathfrak{p}}$  then  $\exists s \notin \mathfrak{p}$  such that  $sx = 0$ , but this is impossible since  $x \notin Z(R)$ . Since  $Z(R) = \bigcup_{\mathfrak{p} \in \text{Ass}(R)} \mathfrak{p}$ , we have  $x \in \mathfrak{p} \subseteq Z(R)$ , our desired contradiction.  $\square$

**Definition.** Let  $(R, \mathfrak{m})$  be a Noetherian local ring with  $M$  a finitely-generated  $R$ -module and  $\dim M = n$ . Then a system of parameters for  $M$  is a set  $\{a_1, \dots, a_n\} \subseteq \mathfrak{m}$  such that  $\ell_R(M/(a_1, \dots, a_n)M) < \infty$ . (exists because  $\dim M = \delta(M)$ )

**Examples.** (1) Let  $I = (a_1, \dots, a_n)$  be an ideal of definition. Then  $\{a_1, \dots, a_n\}$  is a system of parameters.

(2)  $\{x_1, \dots, x_n\} \subseteq k[[x_1, \dots, x_n]]$  is a system of parameters.

**Theorem.** Take  $M$  to be a finitely generated module over a Noetherian local ring. Take  $a_1, \dots, a_t \in \mathfrak{m}$ . Then  $\dim M/(a_1, \dots, a_t)M \geq \dim M - t$ . In addition, we have equality if and only if  $\{a_1, \dots, a_t\}$  is part of a system of parameters.

*Proof.* Let  $a \in M$  and define  $N := M/aM$ . Let  $r = \dim N = \delta(N)$ . Then  $\exists b_1, \dots, b_r \in R$  such that  $\ell_R(N/(b_1, \dots, b_r)N) < \infty$ . But  $N/(b_1, \dots, b_r)N \cong M/(a, b_1, \dots, b_r)$ . So then  $\delta(M) \leq r + 1 = \delta(M/aM) + 1$ .

Now use induction on  $t$ . Start with  $P = M/(a_2, \dots, a_t)M$ . By induction,  $\dim P \geq \dim M + (t - 1)$ . For equality, [...see proof in book]

**Examples.** (1)  $\{a\}$  is an  $M$ -sequence if and only if  $a \notin \mathfrak{J}(M)$ .

(2) In  $k[x_1, \dots, x_n]$  or  $k[[x_1, \dots, x_n]]$ ,  $\{x_1, \dots, x_n\}$

## Lecture 23

**Theorem.** If  $M$  is a finitely generated module over  $(R, \mathfrak{m})$  a Noetherian local ring, and if  $a_1, \dots, a_t$  is an  $M$ -regular sequence, then  $\{a_1, \dots, a_t\}$  is part of a system of parameters.

*Proof.* By induction on  $t$ , for  $t = 1$  we have  $\dim M/a_1M = \dim M - 1$ . So by one of the theorem from earlier,  $\{a_i\}$  is part of a system of parameters. If  $t > 1$ , then assume  $\{a_1, \dots, a_{t-1}\}$  is an  $M$ -regular sequence which is part of a system of parameters. Then  $\dim M/(a_1, \dots, a_t)M = \dim M - (t - 1)$ . Hence,  $\dim M/(a_1, \dots, a_t)M = \dim M/(a_1, \dots, a_{t-1})M - 1 = \dim M - t + 1 - 1 = \dim M - t$ . Again by the theorem from last time, this means  $\{a_1, \dots, a_t\}$  is part of a system of parameters.  $\square$

**Depth.** Let  $M$  be a finitely generated module over  $(R, \underline{m})$ . The *depth* of  $M$  in  $R$  (or  $\underline{m}$ ) is the supremum over the length of all  $M$ -regular sequences, i.e.,  $\sup \{t \mid \{a_1, \dots, a_t\} \text{ an } M\text{-regular sequence}\}$ .

*Note:* Later, we will see the depth equals the length of any maximal  $M$ -regular sequence.

**Proposition.**  $\text{depth } M \leq \dim M$ .

*Proof.* Every  $M$ -regular sequence extends to a system of parameters.

**Definition.** A module  $M$  as above is *Cohen-Macaulay* (CM) if  $\text{depth } M = \dim M$ .

A Noetherian local ring  $(R, \underline{m})$  is CM if it is CM over itself.

**Proposition.** If  $M$  is a finitely generated module over Noetherian  $R$ , then if  $\{a_1, \dots, a_t\}$  is such that  $a^k$  is  $M$ -regular, then the sequence contained in  $\mathfrak{J}(R) = \bigcup_{\underline{m} \subset R} \underline{m}$ , and then any permutation is again an  $M$ -regular sequence. In part, if  $(R, \underline{m})$  is local, then any permutation of any  $M$ -regular sequence is an  $M$ -regular sequence.

*Proof.* It is enough to prove that  $\{a_2, a_1, \dots, a_t\}$  is an  $M$ -regular sequence. We need to prove that  $a_2 \notin Z(M)$ , and  $a_1 \notin Z(M/a_2M)$ . Then say there exists an  $x \in M$  such that  $a_1\bar{x} = 0$  if and only if  $a_1x \in a_2M$  meaning  $\exists y \in M$  such that  $a_1x = a_2y$ . Then  $y \in a_1M$  so  $\exists z$  such that  $y = a_1z$ . But then  $a_1 = a_1a_2z$  so that  $a_1(x - a_2z) = 0$ , but  $a_1 \notin Z(M)$  so that  $x = a_2z \in a_2M$  so  $\bar{x} = 0$ .  $\square$

**Definition.** A Noetherian local ring  $(R, \underline{m})$  is *regular* if the maximal ideal  $\underline{m}$  can be generated by  $a_1, \dots, a_r$ , where  $r = \dim R$ .

**Examples.** (1) If  $\dim R = 0$ , then  $R$  is regular if and only if  $R$  is a field.

(2) If  $\dim R = 1$ , then  $R$  is regular if and only if  $R$  is a discrete valuation ring.

(3) If  $R = k[[x_1, \dots, x_n]]$  is regular local then  $x_1, \dots, x_n$  must be a regular system of parameters.

(4) For  $X$  an algebraic variety,  $x \in X$  is smooth if and only if  $\mathcal{O}_{X,x}$  is a regular local ring.

(5) If  $R = k[X, Y](Y^2 - X^3)$  is a cusp, then  $\dim R = \dim k[X, Y] - 1 = 1$ .

## Lecture 24

**Theorem 1.** If  $R$  is a regular local ring then  $R$  is a domain.

**Theorem 2.** If  $(R, \underline{m})$  is a regular local ring of  $\dim r$  with  $a_1, \dots, a_t \in \underline{m}$  for  $1 \leq t \leq r$ , then the following are equivalent:

- (1)  $a_1, \dots, a_t$  can be extended to a regular system of parameters.
- (2)  $\overline{a_1}, \dots, \overline{a_t}$  are linearly independent over  $k$  in  $\underline{m}/\underline{m}^2$ .
- (3)  $R/(a_1, \dots, a_t)$  is a regular local ring.

*Proof.* [(1)  $\iff$  (2)] By Nakayam's Lemma,  $a_1, \dots, a_t, b_{t+1}, \dots, b_r$  is a regular system of parameters if and only if  $\overline{a_1}, \dots, \overline{a_t}, \overline{b_{t+1}}, \dots, \overline{b_r}$  is a basis for  $\underline{m}/\underline{m}^2$ .

[(1)  $\implies$  (3)] Say  $\{a_1, \dots, a_t, b_{t+1}, \dots, b_r\}$  is a regular system of parameters. Then for any system of parameters, by an older theorem,  $\dim R/(a_1, \dots, a_t) = r - t$ . So then  $\{\overline{b_{t+1}}, \dots, \overline{b_r}\}$  generate a maximal ideal in  $R/(a_1, \dots, a_t)$  so that  $R/(a_1, \dots, a_t)$  is regular.

[(3)  $\implies$  (1)] We have  $R/(a_1, \dots, a_t)$  regular so that  $\{\overline{b_{t+1}}, \dots, \overline{b_r}\}$  is a regular system of parameters. So then pick any  $x \in \underline{m}$ , so that  $\overline{x} = \sum_{j=t+1}^r c_j \overline{b_j}$  for some  $c_j$ , so that  $x - \sum c_j b_j \in (a_1, \dots, a_t)$ . Hence,  $x = \sum c_j b_j + \sum c_i a_i$  so  $x \in (a_1, \dots, a_t, b_{t+1}, \dots, b_r) = \underline{m}$ .  $\square$

*Proof.* (of Theorem 1) We will prove by induction on  $r = \dim R$ . If  $r = 0$ , then  $R$  is a field and if  $r = 1$  then  $R$  is a discrete value ring. If  $r > 1$ ,  $\exists x \in \underline{m}/\underline{m}^2$ . Let the minimal primes of  $R$  be  $\mathfrak{p}_1, \dots, \mathfrak{p}_t$  (want all  $\mathfrak{p}_i = 0$ ). Then we can also assume  $x \notin \mathfrak{p}_i \forall i$ . If  $\underline{m} \subseteq \underline{m}^2 \cup \mathfrak{p}_1 \cup \dots \cup \mathfrak{p}_t$ , then  $\underline{m} \subseteq \underline{m}^2$  or  $\underline{m}_i \subseteq \mathfrak{p}_i$  for some  $i$ . Now look at  $R/(x)$ . Then  $0 \neq \overline{x} \in \underline{m}/\underline{m}^2$ . By Theorem 2,  $R/(x)$  is regular, but  $\dim R/(x) = r - 1$ , so inductively, this is a domain. Then since  $(x)$  is prime,  $\exists i$  s.t.  $\mathfrak{p}_i \subseteq (x)$  so we claim  $\mathfrak{p}_i = x\mathfrak{p}_i$  for  $x \in \underline{m}$ , and by Nakayama's Lemma,  $\mathfrak{p}_i = 0$ . Then we claim  $y \in \mathfrak{p}_i$  implies  $\exists z$  such that  $y = zx$  with  $x \notin \mathfrak{p}_i$  so that  $z \in \mathfrak{p}_i$ .  $\square$

**Theorem.** Let  $(R, \underline{m})$  be a Noetherian local ring. Then  $R$  is regular if and only if  $\underline{m}$  can be generated by a regular sequence. In addition, the length of any such regular sequence is equal to  $\dim R$ .

*Proof.* If  $R$  is regular, take  $\{a_1, \dots, a_r\}$  to be regular for any system of parameters. Then for all  $t$ , by Theorem 2 we have  $R/(a_1, \dots, a_t)$  is regular, so by Theorem 1,  $R/(a_1, \dots, a_t)$  is a domain. So hence  $a_{t+1} \notin \mathcal{Z}(R/(a_1, \dots, a_t))$ . On the other hand, let  $\underline{m} = (a_1, \dots, a_s)$ . Then by the previous theorem  $\{a_1, \dots, a_s\}$  is part of a system of parameters. So then  $0 = \dim R/\underline{m} = \dim R - s = r - s$ . Then  $s = r$  implies  $R$  is regular.

The reason for this theorem is that it gives the following important corollary:

**Corollary.** A regular local ring is Cohen-Macaulay.

*Proof.* We always know  $\text{depth } R \leq \dim R$ . On the other hand, by the theorem  $\text{depth } R \geq \dim R$ .  $\square$

### Homological algebra

Now we start over, and learn some homological algebra in order to prove some more important theorems later on.

Fix a ring  $A$ . Then a chain complex  $C$  is a sequence of  $R$ -modules  $C_n$  with  $n \in \mathbb{Z}$  so that

$$\dots \rightarrow C_{n+1} \rightarrow C_n \rightarrow C_{n-1} \rightarrow \dots$$

with  $d_i : C_i \rightarrow C_{i-1}$  for  $R$ -modules hom s.t.  $d_n \circ d_{n+1} = 0 \ \forall n$ .

We call  $Z_n(C_\bullet) := \ker d_n$   $n$ -cycles, and  $B_n(C_\bullet) := \text{Im } d_{n+1}$  an  $n$ -boundary. Then  $d_n d_{n+1} = 0$  implies  $B_n \subseteq Z_n$ .

We can define the  $n$ -th homology  $R$ -module of  $C_\bullet$  by  $H_n(C_\bullet) := Z_n(C_\bullet)/B_n(C_\bullet)$ . Furthermore, a homology of complexes is a collection of  $R$ -module homomorphisms,

$$\begin{array}{ccccccc} f : C_\bullet & \rightarrow & D_\bullet, & f_n : C_n & \rightarrow & D_n & \\ \dots & \rightarrow & C_{n+1} & \rightarrow & C_n & \rightarrow & C_{n-1} & \rightarrow & \dots \\ & & \downarrow & & \downarrow f_n & & \downarrow & & \\ & & D_{n+1} & \rightarrow & D_n & \rightarrow & D_{n-1} & & \end{array}$$

Then we can easily check  $f_n(Z_n) \subseteq Z_n$  and  $f_n(B_n) \subseteq B_n$ . So then  $f$  induces homomorphisms  $H_n(f) : H_n(C_\bullet) \rightarrow H_n(D_\bullet)$  (on homologies).

### Lecture 25

**Definition.** Let  $f, g : C_\bullet \rightarrow D_\bullet$  be modules of complex. A homotopy between  $f$  and  $g$  is a collection of  $h_n : C_n \rightarrow D_{n+1}$  s.t.  $f_n - g_n = h_{n-1} \circ d_n + d_{n+1} \circ h_n$ .

$$\begin{array}{ccc} C_n & \rightarrow & C_{n-1} \\ \swarrow & & \searrow f_n - g_n & \searrow & h_{n-1} \\ D_{n+1} & \xrightarrow{d_{n+1}} & D_n & \longleftarrow & / \end{array}$$

**Lemma.** If  $f$  and  $g$  are homotopic, then  $H_n(f) = H_n(g)$ .

*Proof.* (Homework)

**Theorem.** (Snake lemma) Assume we have two exact sequences with commutative diagrams.

$$\begin{array}{ccccccc} A & \rightarrow & B & \rightarrow & C & \rightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \rightarrow & D & \rightarrow & E & \rightarrow & F \end{array}$$

OKAY forget trying to type up this diagram just look up the lemma.

**Theorem.** A short exact sequence of complexes

$$0 \rightarrow C. \xrightarrow{f} D. \xrightarrow{g} E. \rightarrow 0$$

means there exists a long exact sequence of homology modules

$$\dots \rightarrow H_{n+1}(E.) \xrightarrow{\partial} H_n(C.) \xrightarrow{H_n(f)} H_n(D.) \xrightarrow{H_n(g)} H_n(E.) \xrightarrow{\gamma} H_{n-1}(C.) \rightarrow \dots$$

*Proof.* Steal from someone else's lecture notes.

**Lemma.** Every commutative diagram of short exact sequences

$$\begin{array}{ccccccc} 0 & \rightarrow & C & \rightarrow & D & \rightarrow & \varepsilon \rightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \rightarrow & C' & \rightarrow & D' & \rightarrow & E' \rightarrow 0 \end{array}$$

induces a commutative diagram of long exact sequences of homology groups

$$\begin{array}{ccccccc} \dots & \rightarrow & H_{n+1}(E.) & \rightarrow & H_n(C.) & \rightarrow & H_n(D.) \rightarrow H_n(E.) \rightarrow H_{n-1}(C.) \rightarrow \dots \\ & & \downarrow & & & & \\ & & \dots & \rightarrow & H_n(C') & \rightarrow & \dots \end{array}$$

**Definition.** An  $R$ -module  $P$  is *projective* if for all surjective homomorphisms of  $R$ -modules, for all homomorphisms  $f : P \rightarrow N'$ , there exists a homomorphism  $h : P \rightarrow M$  making the following diagram commutative:

$$\begin{array}{ccc} & P & \\ h \swarrow & \downarrow f & \\ M & \rightarrow & N \rightarrow 0 \end{array}$$

where the  $h$  is called a lift.

**Proposition.** Every free module is projective.

*Proof.* He proved it in class, but see Dummit and Foote.

See also the Dummit and Foote theorem about equivalent conditions for projective modules!

## Lecture 27

**Theorem.** (*Baer's Criterion*)  $E$  is an injective  $R$ -module if and only if  $\forall I \subseteq R$  ideal and  $\forall f : I \rightarrow E, \exists h : R \rightarrow E$  extending  $f$ .

*Proof.* ( $\implies$ ) By definition,  $M \subseteq M_0 \subseteq N$ .

( $\impliedby$ ) If  $0 \rightarrow M \xrightarrow{f'} N$  with  $M \xrightarrow{g'} E$  and  $N \xrightarrow{h'} E$  (lifts to). Then  $\exists$  a maximal extension  $h_0 : M_0 \rightarrow E$  with  $h_0 : M_0 \rightarrow E$  and  $h_0|_M = g'$  (by Zorn's Lemma).

If  $M_0 = N$ , we are done. Assume it's not, then  $\exists x \in N \setminus M_0$ . If  $I := \{r \in R \mid rx \in M_0\}$ , then define  $f : I \rightarrow E$  by  $f(r) = h_0(rx)$ . This can be extended to  $h : R \rightarrow E$ . Define  $h'_0 : M_0 + Rx \rightarrow E$  (with the former a proper subset of  $M_0$ ) with  $h'_0(x_0 + rx) = h_0(x_0) + rh(1)$  (with  $x \in M_0$ ). This is well-defined and extends  $h_0$  so we have a contradiction.  $\square$

**Theorem.** Every  $R$ -module can be embedded in an injective  $R$ -module.

**Proposition 1.** Every abelian group can be embedded in a divisible group (iff injective).

*Proof.* If  $0 \rightarrow K \rightarrow F \rightarrow M \rightarrow 0$  with  $\mathbb{Z} \subseteq \mathbb{Q}$  and  $\mathbb{Z}^I \subseteq \mathbb{Q}^I$ . Then  $M \cong F/K \subseteq \mathbb{Q}^I/\mathbb{K}$  divisible.  $\square$

**Proposition 2.** If  $D$  is a divisible abelian group and  $R$  is a commutative ring, then  $E := \text{Hom}_{\mathbb{Z}}(R, D)$  is an injective  $R$ -module.

*Proof.* Note that  $\text{Hom}_{\mathbb{Z}}(R, D)$  is an  $R$ -module (we can always do  $rf(s) = f(rs)$ ). We want  $0 \rightarrow M \rightarrow N$  with  $M \rightarrow E$  and  $N$  lifting to  $E$ . Then  $\text{Hom}(N, E) \rightarrow \text{Hom}(M, E) \rightarrow 0$ . We want  $\text{Hom}_R(N, \text{Hom}_{\mathbb{Z}}(R, D)) \rightarrow \text{Hom}_R(M, \text{Hom}_{\mathbb{Z}}(R, D))$ . The former is isomorphic to  $\text{Hom}_{\mathbb{Z}}(N \otimes_R R, D)$  and the latter to  $\text{Hom}_{\mathbb{Z}}(M \otimes_R R, D)$  and again  $N \otimes_R R \cong N$  and  $M \otimes_R R \cong M$  for  $D$  divisible implying we have injective over  $\mathbb{Z}$  for  $\text{Hom}_{\mathbb{Z}}(N, D) \rightarrow \text{Hom}_{\mathbb{Z}}(M, D) \rightarrow 0$ .  $\square$

*Proof (of theorem).* We have  $M \hookrightarrow$  injective module, so  $M$  an  $R$ -module implies  $M$  an abelian group implies (by Proposition 1) that  $\exists M \hookrightarrow D$  a divisible group. Let  $E = \text{Hom}_{\mathbb{Z}}(R, D)$  injective over  $R$  as by Proposition 2. We then claim that there is an injective  $R$ -module homomorphism  $\varphi : M \rightarrow E$  with  $m \mapsto f_m$  for  $f_m(r) = rm \in M \subseteq D$ . Then if  $\varphi$  is injective,  $f_{m_1} = f_{m_2}$  implies  $f_{m_1}(1) = f_{m_2}(1)$  so that  $m_1 = m_2$ . If  $\varphi$  is an  $R$ -module homomorphism,  $s \in R$  means  $f_{sm}(r) = rsm = (rs)m = f_m(sr) = (sf_m)(r)$ .  $\square$

## Resolutions

**Definition.** The *left resolution* of a module  $M$  is an exact sequence

$$\dots \rightarrow P_1 \rightarrow P_0 \rightarrow M \rightarrow 0.$$

It is a *projective* (free) resolution if all the  $P_i$ 's are projective (free). A deleted resolution is one of the form  $P_2 \rightarrow P_1 \rightarrow P_0 \rightarrow 0$  (i.e.,  $P_0$  is not exact anymore).

Similar definition for a right resolution.

**Lemma.** Every module  $M$  admits projective (in fact free) and injective resolutions.

*Proof.* We have  $0 \rightarrow K_0 \rightarrow F_0 \rightarrow M$  and  $0 \rightarrow K_1 \rightarrow F_1 \rightarrow K_0 \rightarrow 0$ , and continue like this.  $\square$

**Definition.** An  $R$ -module  $M$  is *flat* if the functor  $M \otimes_R \_$  is exact, i.e., for all short exact sequences  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  of  $R$ -modules, then  $0 \rightarrow M \otimes_R A \rightarrow M \otimes_R B \rightarrow M \otimes_R C \rightarrow 0$  is exact.

**Examples.** (1)  $R$  is flat over  $R$  because  $R \otimes_R A \cong A$  for any  $A$ .

(2) (Exercise)  $\bigoplus M_i$  is flat  $\Leftrightarrow \forall M_i$  is flat.

(3) Every projective  $R$ -module is flat.

## Lecture 28

### Flat modules

$M \otimes_R \_$  is right exact  $\forall M$   $R$ -modules.

*Proof.* Start with a short exact sequence  $0 \rightarrow A \xrightarrow{f} B \xrightarrow{g} C \rightarrow 0$ . We want to show

$$M \otimes_R A \xrightarrow{1 \otimes f} M \otimes_R B \xrightarrow{1 \otimes g} M \otimes_R C \rightarrow 0$$

is everywhere exact.

First, notice  $1 \otimes g$  is surjective:  $1 \otimes g(\sum m_i \otimes b_i) = \sum m_i \otimes g(b_i) = \sum m_i \otimes c_i$ .  
Second, (2)  $\text{Im}(1 \otimes f) \subseteq \ker(1 \otimes g)$ :  $(1 \otimes g) \circ (1 \otimes f) = 1 \otimes g \circ f = 0$ .

Furthermore,  $\ker(1 \otimes g) \subseteq \text{im}(1 \otimes f) := D$ . Then by (2) we have  $D \subseteq \ker(1 \otimes g)$  implies  $\exists$  induced map  $\bar{g} : M \otimes_R B \twoheadrightarrow M \otimes_R C$ . Then

$$\bar{g} \circ \pi(m \otimes b) = \bar{g}(m \otimes b) = m \otimes g(b) \text{ and } \ker(\bar{g} \circ \pi) = \ker(1 \otimes g).$$

We claim that it is enough to show  $\bar{g}$  is an isomorphism. Construct the inverse

$$\bar{h} : M \otimes_R C \rightarrow M \otimes_R B / D \text{ with } M \times C \text{ lift to } M \otimes_R C \text{ and } M \times C \xrightarrow{h} M \otimes_R B / D.$$

Then

$$h : M \times C \rightarrow M \otimes_R B / D \text{ with } h((m, c)) = m \otimes b \text{ for any } b \text{ s.t. } g(b) = c.$$

This is well-defined and  $R$ -bilinear.  $\square$

### Examples (of flatness)

(1)  $R$  flat over  $R$

(2)  $\forall$  projective module is flat over  $R$

(3)  $\mathbb{Z}$ -module (abelian group) is flat if and only if it is torsion-free.

(remember for these every torsion-free abelian group is free so it is projective and flat).

We say it is not torsion free if  $\exists n \in \mathbb{Z}$  such that  $nx = 0$ .

(4)  $\mathbb{Q}$  is flat, but not projective over  $\mathbb{Z}$ . It is torsion free so it is flat. But it is not free so it is not projective.

(5) (Homework) (a) For  $R \subset S$ , if  $S$  is flat over  $R$  and  $M$  is flat over an  $S$ -module, then  $M$  is flat over  $R$ . (b) If  $R \subset S$ ,  $M$  is a flat  $R$ -module implies  $S \otimes_R M$  is flat over  $S$ . (c) If  $M$  is flat over  $R$ , then  $S \subseteq R$  is a multiplication system then  $S^{-1}M$  is flat over  $S^{-1}R$ .

### Derived functions

(1) *Right exact functors*: If  $F$  is right exact on  $R$  modules, then if we take  $A$  to be an  $R$ -module, we can construct a projective resolution

$$\dots \rightarrow P_2 \rightarrow P_1 \rightarrow P_0 \rightarrow A \rightarrow 0$$

$$\dots \rightarrow P_2 \rightarrow P_1 \rightarrow P_0 \rightarrow 0$$

Apply  $F$  to  $P_0$  and we get  $F(P_0) \rightarrow \text{complex}$ . Then if  $F: A \rightarrow B \rightarrow C$  with  $0 \rightarrow A_1 \rightarrow B$  and  $A \rightarrow A_1$  and  $B \rightarrow B_1 \rightarrow 0$  and  $0 \rightarrow B \rightarrow C$ , then  $F(A_1) \rightarrow F(B_1) \rightarrow F(C_1)$  is an exact sequence. We know  $F(f) = F(B_1)$ . Then  $F(g) = F(v) \circ F(u)$  and  $\text{Ker}(F(u)) \cong K (\subset \text{ker}(F(g)))$  because  $F(v)$  is not injective.

**Definition.** The *left derived functors* of  $F$  are the functors  $(L_n F)(A) = H_n(F(P_0))$ .

This doesn't depend on the resolution.

We also get an induced long exact sequence:

$$\dots \rightarrow (L_{m+1} F)(C) \rightarrow (L_n F)(A) \rightarrow (L_n F)(B) \rightarrow (L_n F)(C) \rightarrow (L_{n-1} F)(A) \rightarrow \dots$$

Our "favorite gadget" will be:

**Definition.**  $\text{Tor}_i^R(M, \_) := L_i M \otimes_R \_$

**Lemma.** If  $P$  is projective, then  $\text{Tor}_i^R(M, P) = 0 \forall i > 0$  and  $\text{Tor}_0^R(M, P) \cong M \otimes_R P$ .

**Proposition.** The following are equivalent:

- (1)  $M$  is flat over  $R$  (2)  $\text{Tor}_n^R(M, N) = 0 \forall n \geq 1, \forall N$  (3)  $\text{Tor}_i^R(M, N) = 0 \forall N$

*Proof.* (1)  $\implies$  (2)  $P_0 \rightarrow N$  is a projective resolution with  $1 \otimes_R M$  flat. Then

$$P_0 \otimes_R M \rightarrow N \otimes_R M \rightarrow 0 \text{ is exact.}$$

So then  $\text{Tor}_n^R(M, N) = 0 \forall n \geq 1$ .

(2)  $\implies$  (3) Obvious.

(3)  $\implies$  (1)  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  gives

$$\dots \rightarrow \text{Tor}_1^R(M, B) \rightarrow \text{Tor}_1^R(M, C) \rightarrow M \otimes_R A \rightarrow M \otimes_R B \rightarrow M \otimes_R C \rightarrow 0. \square$$

## Lecture 30

### Left exact functors

Start with  $(\text{Hom}_R(M, \_))$  and  $(\text{Hom}_R(\_, M))$ . Then for  $F$  left exact on an  $R$ -module, let  $A$  be an  $R$ -module and take injection resolution:

$$0 \rightarrow A \rightarrow E_0 \rightarrow E_1 \rightarrow \dots$$

with  $E_0$  a deleted resolution. Then  $F(E_0)$  is a complex (like in the last lecture). Then

$$(R^n F)(A) = H^n(F(E_0)) \text{ (independent of } E_0\text{).}$$

For an exact sequence  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$ , we get a long exact sequence



$$\dots \rightarrow (R^{n-1}F)(C) \rightarrow (R^n F)(A) \rightarrow R^n F(B) \rightarrow (R^n F)(C) \rightarrow (R^{n+1}F)(A) \rightarrow \dots$$

**Definition.**  $\text{Ext}_R^n(M, \_) := R^n \text{Hom}_R(M, \_)$

**Lemma.**  $\forall F$  left or right exact and  $\forall A$   $R$ -modules,

$$(L_0 F)(A) \cong F(A) \cong (R^0 F)(A).$$

*Proof.* We have a right exact sequence  $P_0 \rightarrow A \rightarrow 0$  with  $F(P_1) \rightarrow F(P_0) \rightarrow 0$  and  $F(P_0) \rightarrow F(A)$ . By definition,  $L_0 F(A)$  is a homology at  $F(P_0)$ , specifically,  $F(P_0)/\text{Im}(F(P_1) \rightarrow F(P_0))$ . Since  $P_1 \rightarrow P_0 \rightarrow A \rightarrow 0$ , applying  $F$  to a right exact sequence,  $F(P_1) \rightarrow F(P_0) \rightarrow F(A) \rightarrow 0$  is exact.  $\square$

**Lemma.**  $F$  is left exact and  $E$  is injective implies  $(R^n F)(E) = 0 \forall n > 0$ . In particular

$$\text{Ext}_R^n(M, E) = 0 \forall n > 0, \forall M.$$

*Remark:* Can also look at  $\text{Ext}_R^n(\_, N) = R^n \text{Hom}_R(\_, N)$ . Can do it by picking projective resolutions.

**Proposition.** The following are equivalent:

- (1)  $M$  is projective.
- (2)  $\text{Ext}_R^n(M, N) = 0 \forall n \geq 1, \forall N$ .
- (3)  $\text{Ext}_R^n(M, N) = 0 \forall N$ .

*Proof.* (1)  $\implies$  (2) We have a projective resolution  $0 \rightarrow M \rightarrow M \rightarrow 0$  so then

$$\text{Ext}_R^n(M, M) = R^n \text{Hom}_R(M, N) = 0.$$

(2)  $\implies$  (3) Clear.

(3)  $\implies$  (1) Take the exact sequence  $0 \rightarrow G \rightarrow F \rightarrow M \rightarrow 0$  (\*). Then apply  $\text{Hom}_R(\_, N)$ :

$$0 \rightarrow \text{Hom}_R(M, N) \rightarrow \text{Hom}_R(F, N) \rightarrow \text{Hom}_R(G, N) \rightarrow \text{Ext}_R^n(M, N) \rightarrow \text{Ext}_R^1(F, N) \rightarrow \dots$$

Take  $N = G$ . Then

$$0 \rightarrow \text{Hom}_R(M, G) \rightarrow \text{Hom}_R(F, G) \rightarrow \text{Hom}_R(G, G) \rightarrow 0.$$

Then (\*) splits, so  $M$  is a direct summand of  $F$ , so  $M$  is projective.  $\square$

**Proposition.** The following are equivalent:

- (1)  $N$  is injective.
- (2)  $\text{Ext}_R^n(M, N) = 0 \forall n \geq 1 \forall M$ .
- (3)  $\text{Ext}_R^1(M, N) = 0 \forall M$ .

*Proof.* Homework exercise.

**Examples.** (1)  $\text{Ext}_R^i(R, M) = 0 \forall i > 0 \forall M$  (from proposition). Then by definition  $\text{Ext}_R^0(R, M) = \text{Hom}_R(R, M) \cong M$ .

(2)  $x \in R$  is neither a unit nor a zero-divisor. We want to compute  $\text{Ext}_R^i(R/xR, M)$  for any  $M$ . We have

$$0 \rightarrow R \rightarrow R \rightarrow R/xR \rightarrow 0.$$

We get the long exact sequence

$0 \rightarrow \text{Hom}_R(R/xR, M) \rightarrow \text{Hom}_R(R, M) \rightarrow \text{Hom}_R(R, M) \rightarrow \text{Ext}^1(R/xR, M) \rightarrow \text{Ext}^1(R, M)$   
and

$$\dots \rightarrow \text{Ext}^{i-1}(R, M) \rightarrow \text{Ext}^i(R/xR, M) \rightarrow \text{Ext}^i(R, M) \rightarrow \dots$$

for  $i \geq 2$ . Then  $\text{Ext}^1(R/xR, M) \cong M/xM$ , and  $\text{Hom}_R(R/xR, M) = \{m \in M \mid xm = 0\} = \text{socle of } x$ .

(3)  $\text{Tor}_i^R(M, R) = 0 \quad \forall i > 0$ , and  $\text{Tor}_0^R(M, R) \cong M \otimes_R R \cong M$ . As in (2), compute  $\text{Tor}_i^R(R/xR, M) \forall i$ .

(4) For any  $I \subseteq R$ , what is  $\text{Tor}_i(R/I, M)$ ?

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(4) We want to compute  $\text{Tor}_i^R(R/xR, M)$  where  $x$  is not a unit or zero divisor.

$$0 \rightarrow R \xrightarrow{x} R \rightarrow R/xR \rightarrow 0$$

So we need  $1 \otimes M$ . So we get

$$\text{Tor}_1(R, M) \rightarrow \text{Tor}_1(R/xR, M) \rightarrow R \otimes_R M \rightarrow R \otimes_R M \rightarrow R/xR \otimes_R M \rightarrow 0.$$

But by isomorphisms,

$$0 \rightarrow \{m \mid x \cdot m = 0\} \rightarrow M \rightarrow M \rightarrow M/xM \rightarrow 0.$$

So  $\{m \mid x \cdot m = 0\} \cong \text{Tor}_1(R/xR, M)$ , and  $M/xM = \text{Tor}_0(R/xR, M)$ . So

$$\text{Tor}_i(R, M) \rightarrow \text{Tor}_i(R/xR, M) \rightarrow \text{Tor}_i(R, M)$$

for  $i \geq 2$ .

(5) Take  $I \subseteq R$  any ideal. Then  $\text{Tor}_i(R/I, M) = ? \forall i$ . Then

$$0 \rightarrow I \rightarrow R \rightarrow R/I \rightarrow 0$$

and we tensor with  $M$ .

$$0 \rightarrow \text{Tor}_1(R/I, M) \rightarrow I \otimes_R M \rightarrow M \rightarrow M/IM \rightarrow 0.$$

$$\text{Tor}_i(R, M) \rightarrow \text{Tor}_i(R/I, M) \rightarrow \text{Tor}_{i-1}(I, M) \rightarrow \text{Tor}_{i-1}(R, M).$$

Then for  $i \geq 2$ ,  $\text{Tor}_i(R/I, M) \cong \text{Tor}_{i-1}(I, M)$ . Notice we know

$$\text{Tor}_1(R/I, M) = \ker(I \otimes_R M \rightarrow IM) \quad (a \otimes m \mapsto am).$$

## Homological Dimension

**Definition.** If  $M$  is an  $R$ -module, take a projective resolution

$$P. := 0 \rightarrow P_n \rightarrow \dots \rightarrow P_1 \rightarrow P_0 \rightarrow M \rightarrow 0$$

of length  $n$ . The *projective (homological) dimension* of  $M$ , denoted  $\text{pd}_R(M)$  is the infimum (minimum) over the length of all such resolutions (could be  $\infty$ ).

Notice  $\text{pd}_R(M) = 0 \Leftrightarrow M$  is projective.

**Lemma.** Let  $R$  be a principal ideal domain, and  $M$  an  $R$ -module. Then  $\text{pd}_R(M) \leq 1$ . Equality holds if and only if the torsion part of  $M$  is non-trivial.

*Proof.* Notice there is an exact sequence  $0 \rightarrow F_1 \rightarrow F_0 \rightarrow M \rightarrow 0$  where  $F_0$  is free and  $F_1$  is the kernel. Since  $F_0$  is free,  $F_1$  must be torsion-free as it is on a principal ideal domain. Hence it must be free. Thus, we have found a resolution of length 1, so that  $\text{pd}_R(M) \leq 1$ . Indeed  $\text{pd}_R(M) = 0$  if and only if  $M$  is projective if and only if  $M$  is free if and only if (since we're on a PID) the torsion part is trivial.  $\square$

**Definition.** The *global homological dimension* of  $R$  is  $\text{gd}(R) = \sup_M \text{pd}_R(M)$  (it could be infinite).

**Examples.** (1) If  $R$  is a field, then  $\text{gd}(R) = 0$ .

(2) If  $R$  is a PID, then  $\text{gd}(R) = 1$ .

**Theorem.** The following are equivalent for a given  $R$ -module  $M$ :

(1)  $\text{pd}_R(M) \leq n$ . (2)  $\text{Ext}_R^i(M, N) = 0 \forall i > n \forall N$   $R$ -modules.

(3)  $\text{Ext}^{n+1}(M, N) = 0 \forall N$   $R$ -modules.

(4) If there is an exact sequence  $0 \rightarrow Q_n \rightarrow P_{n-1} \rightarrow \dots \rightarrow P_1 \rightarrow P_0 \rightarrow M \rightarrow 0$  where  $P_i$  are all projective, then  $Q_n$  is also projective.

*Proof.* (4)  $\implies$  (1) and (2)  $\implies$  (3) are true by definition and inspection.

(1)  $\implies$  (2) Take a proj. resolution of  $M$ :  $0 \rightarrow P_0 \rightarrow M \rightarrow 0$  such that  $\text{length}(P_\bullet) \leq n$ . Then  $\text{Ext}_R^i(M, N) = R^i \text{Hom}(P_\bullet, N) = 0$  for  $i > n$  by basic notion of homology.

(3)  $\implies$  (4)  $0 \rightarrow Q_n \rightarrow P_{n-1} \rightarrow P_{n-2} \rightarrow \dots \rightarrow P_2 \rightarrow P_1 \rightarrow P_0 \rightarrow M$  where we have  $P_{n-1} \rightarrow K_{n-1} \rightarrow 0$  and  $0 \rightarrow K_{n-1} \rightarrow P_{n-2}, \dots$ , and  $P_2 \rightarrow K_1 \rightarrow 0, 0 \rightarrow K_1 \rightarrow P_1, 0 \rightarrow K_1 \rightarrow P_0$ , and  $P_1 \rightarrow K_0 \rightarrow 0$ , where  $K_i$  is the so-called  $i$ th syzygy module. This gives

$$0 \rightarrow K_0 \rightarrow P_0 \rightarrow M \rightarrow 0$$

$$0 \rightarrow K_1 \rightarrow P_1 \rightarrow K_0 \rightarrow 0$$

We know that  $\text{Ext}_R^{n+1}(M, N) = 0 \forall N$ . From last time,  $Q_n$  is projective if and only if  $\text{Ext}_R^1(Q_n, N) = 0 \forall N$ . Then

$$\text{Ext}^n(M, N) \rightarrow \text{Ext}^n(P_0, N) \rightarrow \text{Ext}^n(K_0, N) \rightarrow \text{Ext}^{n+1}(M, N) = 0.$$

Since  $P_0$  is projective, and  $\text{Ext}$  of anything projective is 0, we have  $\text{Ext}^n(P_0, N) = 0$ . So we've shifted the index, so that  $\text{Ext}^n(K_0, N) = 0 \forall N$ . Then

$$0 = \text{Ext}^n(P_1, N) \rightarrow \text{Ext}^{n-1}(K_1, N) \rightarrow \text{Ext}^n(K_0, N) = 0$$

so this implies  $\text{Ext}^{n-1}(K_1, N) = 0 \forall N$ . Continue this way. Then eventually  $Q_m \cong K_{n-1}$ . Then  $\text{Ext}^1(Q_n, N) = 0 \forall N$ .  $\square$

**Corollary.**  $\text{gl}(R) = \inf \{n \mid \text{Ext}_R^n(M, N) = 0 \forall M \forall N\}$ .

**Definition.** Similar definition for *injective resolution* and *injective dimension* ( $\text{id}_R$ ).

**Theorem.** For  $R$ -module  $N$ ,  $n \geq 0$ , the following are equivalent:

- (1)  $\text{id}_R(N) \leq n$ . (2)  $\text{Ext}_R^i(M, N) = 0 \ \forall i > n \ \forall M$  (3)  $\text{Ext}_R^{n+1}(M, N) = 0 \ \forall M$   
 (4)  $\forall$  exact sequences  $0 \rightarrow N \rightarrow E_0 \rightarrow \dots \rightarrow E_{n-1} \rightarrow Q_n \rightarrow 0$  with  $E_i$  injective,  $Q_n$  is also injective.

*Proof.* Homework.

**Corollary.**  $\text{gd}(R) = \sup_N \{\text{id}_R(N)\} = \inf_N \{n \mid \text{Ext}_R^{n+1}(M, N) = 0 \ \forall M\} = \inf \{n \mid \text{Ext}_R^{n+1}(M, N) = 0 \ \forall M\}$ .

## Lecture 32

This lecture we will apply homological methods to obtain some results.

**Proposition.** Start with  $(R, \underline{m})$  a Noetherian local ring, with  $k = R/\underline{m}$  the residue field. Let  $M$  be a finitely generated  $R$ -module. Then  $M$  is free if and only if  $\text{Tor}_1^R(M, k) = 0$ .

*Proof.* ( $\implies$ ) If  $M$  is free, then it is projective, so that  $\text{Tor}_i(M, N) = 0 \ \forall i > 0, \ \forall N$ .

( $\impliedby$ ) Take a minimal set of generators for  $M$ , say  $x_1, \dots, x_n$ . Take a free module  $F$  of rank  $n$ , with basis  $e_1, \dots, e_n$ . We have

$$0 \rightarrow K \rightarrow F \rightarrow M \rightarrow 0 \text{ with } e_i \mapsto x_i.$$

We then tensor with  $k = R/\underline{m}$ , so we get

$$\text{Tor}_1(M, k) \rightarrow K \otimes_R k \rightarrow F \otimes_R k \rightarrow M \otimes_R k \rightarrow 0.$$

Notice  $\text{Tor}_1(M, k) = 0$ ,  $F \otimes_R k \cong F/\underline{m}F \cong M/\underline{m}M$  [Nakayama]  $\cong M \otimes_R k$ . But then  $K = \underline{m}K$  so by Nakayama's Lemma,  $K = 0$  which implies  $M \cong F$ .

**Corollary.** If  $(R, \underline{m})$  is a Noetherian local ring, with  $M$  a finitely generated  $R$ -module, then  $M$  is free if and only if  $M$  is projective if and only if  $M$  is flat.

*Proof.* Since  $M$  is free, it is projective, and so flat, and so  $\text{Tor}_1(M, k) = 0$  (since all  $\text{Tor}_1(M, N) = 0$  for  $n > 0$ ). By the proposition this in turn implies  $M$  is free.  $\square$

**Theorem.** If  $(R, \underline{m})$  is a Noetherian local ring, and  $M$  is a finitely generated  $R$ -module, then the following are equivalent:

- (1)  $\text{pd}_R(M) \leq n$ . (2)  $\text{Tor}_i^R(M, N) = 0 \ \forall i > n \ \forall N$   $R$ -modules.  
 (3)  $\text{Tor}_{n+1}^R(M, N) = 0 \ \forall N$   $R$ -modules.  
 (4)  $\text{Tor}_{n+1}(M, R) = 0$ .

*Proof.* [(1)  $\implies$  (2)] Take a projective resolution  $0 \rightarrow P \rightarrow M \rightarrow 0$  of length  $\leq n$ .

$$\text{Tor}_i^R(M, N) = H_i(P \otimes N) = 0 \ \forall i > n.$$

[(2)  $\implies$  (3)  $\implies$  (4)] Obvious.

[(4)  $\implies$  (1)] It's enough to show (as was seen in the previous lecture) that if we have an exact sequence

$$0 \rightarrow Q_n \rightarrow P_{n-1} \rightarrow \dots \rightarrow P_1 \rightarrow P_0 \rightarrow M \rightarrow 0$$

with  $P_i$  projective, then  $Q_n$  is projective. So this is what we need to show. By the earlier proposition, we only need to show that  $\text{Tor}_1(Q_n, k) = 0$  (which is much more manageable).

$$\text{Tor}_1(Q_n, k) \cong \text{Tor}_2(K_{n-2}, k) \cong \text{Tor}_3(K_{n-3}, k) \cong \dots \cong \text{Tor}_n(K_0, k) \cong \text{Tor}_{n+1}(M, k) = 0$$

by (4).  $\square$

**Corollary.** If  $(R, \underline{m})$  is a Noetherian local ring,  $k = R/\underline{m}$ , and  $n > 0$ , then the following are equivalent:

- (1)  $\text{gd}(R) \leq n$ . (2)  $\text{Tor}_{n+1}^R(M, N) = 0 \forall M, N$  finitely generated modules.  
 (3)  $\text{Tor}_{n+1}(k, k) = 0$

*Proof.* [(1)  $\Leftrightarrow$  (2)] Notice  $\text{pd}_R(M) \leq n$  is true if and only if  $\text{Tor}_{n+1}(M, N) = 0 \forall N$  which is true if and only if  $\text{Tor}_{n+1}(M, k) = 0$ .

(2) is true if and only if  $\text{Tor}_{n+1}(M, k) \cong \text{Tor}_{n+1}(k, M) = 0 \forall M$ , so by the previous theorem, this is true if  $\text{Tor}_{n+1}(k, k) = 0$ .  $\square$

### First application of homological methods

We will discuss the length of  $M$ -regular sequences.

**Definition.** If  $R$  is a Noetherian local ring,  $I \subseteq R$ ,  $M$  is a finitely generated  $R$ -module,  $IM \neq M$ , then the  $\text{grade}_I(M) = \max_n \{x_1, \dots, x_n \text{ } M\text{-regular sequence} \mid x_i \in I \forall i\}$ .

**Example.** If  $(R, \underline{m})$  is a Noetherian local ring, then  $\text{depth } M = \text{grade}_{\underline{m}}(M)$ .

**Theorem.** If  $R$  is a Noetherian local ring,  $I \subseteq R$ ,  $M$  is a finitely generated  $R$ -module, then any two maximal  $M$ -regular sequences in  $I$  have the same length. This length is equal to  $\min\{n \mid \text{Ext}^n(R/I, M) \neq 0\}$ .

We will prove this shortly.

**Proposition.** Let  $M$  and  $N$  be  $R$ -modules,  $x_1, \dots, x_n$  an  $M$ -regular sequence. Assume that  $(x_1, \dots, x_n) \cdot N = 0$ . Then  $\text{Ext}^n(N, M) \cong \text{Hom}(N, M/(x_1, \dots, x_n)M)$ .

*Proof.* Consider  $0 \rightarrow M \xrightarrow{x_1} M \subseteq M/x_1M \rightarrow 0$ . Then this implies there is

$$\dots \rightarrow \text{Ext}^{n-1}(N, M) \rightarrow \text{Ext}^{n-1}(N, M/x_1M) \rightarrow \text{Ext}^n(N, M) \xrightarrow{x_1} \text{Ext}^n(N, M) \rightarrow \dots$$

which means  $x_1 \text{Ext}^n(N, M) = 0 \forall n$  (exercise). Then for  $n = 1$ ,

$$0 \rightarrow \text{Hom}(N, M) \xrightarrow{x_1} \text{Hom}(N, M) \rightarrow \text{Hom}(N, M/x_1M) \rightarrow \text{Ext}^1(N, M) \rightarrow 0.$$

But notice  $\text{Hom}(N, M) = 0$ . This says  $\text{Ext}^1(N, M) \cong \text{Hom}(N, M/x_1M)$ . We then claim that  $\varphi \in \text{Hom}(N, M/(x_1, \dots, x_{k-1})M) = 0$ . Then  $x_k\varphi(n) = \varphi(x_k n) = \varphi(0) = 0$  with  $x_i N = 0$  with  $x_k \notin Z(M/(x_1, \dots, x_{k-1})M)$ . This implies  $\varphi(n) = 0$ . So then

$$0 \rightarrow \text{Hom}(N, M/(x_1, \dots, x_{n-1})) \rightarrow \text{Hom}_R(N, M/(x_1, \dots, x_n)M)$$

which induces

$$\dots \rightarrow \text{Ext}^{n-1}(N, M) \rightarrow \text{Ext}^{n-1}(N, M/x_1M) \rightarrow \text{Ext}^n(N, M) \xrightarrow{x_1} \text{Ext}^n(N, M). \square$$

## Lecture 34

**Theorem.** If  $(R, \underline{m})$  is a Noetherian local ring, then a complex  $F$  of free modules over  $R$  is minimal if and only if  $d_n \otimes 1_R : F_n \otimes_R \underline{k} \rightarrow F_{n-1} \otimes_R \underline{k}$  if and only if the matrices representing  $d_n$  have all entries in the maximal ideal  $\underline{m}$ .

Minimal free resolutions of a given module  $M$  are unique up to isomorphism.

**Theorem.** (*Auslander-Buchsbaum*) If  $(R, \underline{m})$  is Noetherian local and  $M$  is a finitely generated  $R$ -module such that  $\text{pd}_R(M) < \infty$ , then  $\text{pd}_R(M) + \text{depth}(M) = \text{depth}(R)$ .

### Example of application

We want to detect when a ring is Cohen-Macaulay. We can do this with the following corollary.

**Corollary.** (a) If there is a finitely generated module  $M$  with  $\text{pd}_R(M) = \dim(R)$ , then the ring  $R$  is Cohen-Macaulay.

(b) If  $R$  is Cohen-Macaulay and  $M$  is a finitely generated  $R$ -module with  $\text{pd}_R(M) = \dim(R)$ , then  $\underline{m} \in \text{Ass}(M)$ .

*Proof.* In general,  $\text{depth}(R) \leq \dim R$  with equality if and only if  $R$  is Cohen-Macaulay. But then  $\dim R \leq \text{pd}_R(M) + \text{depth } M = \text{depth } R \leq \dim R$  holds if and only if  $\text{depth } R = \dim R$  (gives Cohen-Macaulayness) and  $\text{depth } M = 0$  (if and only if  $\underline{m} \in \text{Ass}(M)$ ).  $\square$

*Proof.* (of theorem) We will use induction on the projective dimension  $p = \text{pd}_R(M)$ . If  $p = 0$ , this is equivalent to saying  $M$  is projective, but the ring is local so this is equivalent to  $M$  being free. This implies  $\text{depth}(M) = \text{depth}(R/\text{Ann}(M)) = \text{depth}(R)$ .

Now consider  $p = 1$ . We pick a minimal free resolution,

$$0 \rightarrow R^m \xrightarrow{f} R^n \rightarrow M \rightarrow 0$$

where  $f$  has entries in  $\underline{m}$ . Recall  $\text{depth}(M) = \inf \{i \mid R/\underline{m} = \text{Ext}^i(k, M) \neq 0\}$  (theorem from last time). This gives

$$\dots \rightarrow \text{Ext}^i(k, R^m) \rightarrow \text{Ext}^i(k, R^n) \rightarrow \text{Ext}^i(k, M) \rightarrow \text{Ext}^{i+1}(k, R^m) \rightarrow \dots$$

But notice  $\text{Ext}^i(k, R^\xi) \cong \bigoplus_{\xi \text{ times}} \text{Ext}^i(k, R)$  for  $\xi \in \{m, n\}$ . But then the map

$$\bigoplus_m \text{Ext}^i(k, R) \xrightarrow{\tilde{f}} \bigoplus_n \text{Ext}^i(k, R)$$

is the same matrix as  $f$ . So then from earlier  $x \text{Ext}^i(N, M) = 0$ , so the map

$$\bigoplus_m \text{Ext}^i(k, R) \xrightarrow{\tilde{f}} \bigoplus_n \text{Ext}^i(k, R) \rightarrow \text{Ext}^{i+1}(k, R^m) \rightarrow \dots$$

is in fact 0. Furthermore,

$$0 \rightarrow \bigoplus_n \text{Ext}^i(k, R) \rightarrow \text{Ext}^i(k, M) \rightarrow \bigoplus_m \text{Ext}^{i+1}(k, R) \rightarrow 0.$$

Then  $\text{depth}(M) = \min\{i \mid \text{Ext}^i(k, M) \neq 0\}$ , and  $\text{depth}(R) = \min\{i \mid \text{Ext}^i(k, R) \neq 0\}$ . Notice  $\text{Ext}^i(k, M) = 0$  implies  $\text{Ext}^{i+1}(k, R) = 0$ . On the other hand,  $\text{Ext}^i(k, M) \neq 0$  implies  $\text{Ext}^i(k, R) \neq 0$  or  $\text{Ext}^{i+1}(k, R) \neq 0$  so that  $\text{depth } R = \text{depth } M + 1 = \text{pd}_R(M)$ .

Finally, consider  $p > 1$ . Take the presentation  $0 \rightarrow K \rightarrow R^n \rightarrow M \rightarrow 0$ . Then  $\text{pd}_R(M) = p$  implies  $\text{pd}_R(k) = p - 1$ . By induction,  $p - 1 + \text{depth } K = \text{depth } R$ . Now we only need to show  $\text{depth } K = \text{depth } M + 1$ . We have

$$\dots \rightarrow \text{Ext}^{i-1}(k, M) \rightarrow \text{Ext}^i(k, K) \rightarrow \text{Ext}^i(k, R)^n \rightarrow \text{Ext}^i(k, M) \rightarrow \dots$$

So then  $\text{depth } R > \text{depth } K$ . Then if we let  $d = \text{depth } K$ ,

$$\text{Ext}^{d-1}(k, R) = \text{Ext}^d(k, K) = 0,$$

so that  $\text{Ext}^d(K, k) \cong \text{Ext}^{d-1}(k, M)$ . Then the earlier long sequence has to be minimal, so  $\text{depth } M = \text{depth } K - 1$ .  $\square$

**Proposition.** Let  $(R, \underline{m})$  be a Noetherian local ring, and  $M$  a finitely generated  $R$ -module. Take

$$0 \rightarrow F_n \rightarrow F_{n-1} \rightarrow \dots \rightarrow F_1 \rightarrow F_0 \rightarrow M \rightarrow 0$$

to be a minimal free resolution. Then

- (1)  $\text{rank}(F_i) = \dim_k \text{Tor}_i(M, k)$ . (where the rank is the so-called Betti # of  $M$ )
- (2)  $\text{pd}_R(M) = n = \sup\{i \mid \text{Tor}_i(M, k) \neq 0\}$ .
- (3)  $\text{gd}(R) = \text{pd}_R(k)$ .

Furthermore,

$$(1) \quad \dots \xrightarrow{0} F_i \otimes k \xrightarrow{0} F_{i-1} \otimes k \rightarrow \dots$$

where the homology here is  $\text{Tor}_i(M, k)$ . Then  $\text{Tor}_i(M, k) \cong F_i \otimes_R k$ .

- (2) We know from the previous theorem that  $\text{pd}_R(M) = \sup\{i \mid \text{Tor}_i(M, k) \neq 0\} = n$ .
- (3) We can compute  $\text{Tor}_i(k, M)$  by taking the minimum free resolution for  $k$ . So

$$\text{pd}_R(M) \leq \text{pd}_R(k).$$

## Lecture 35

**Koszul complex**

This is the most important example of a complex. Let  $R$  be a ring with  $E \triangleq R^n$  with basis  $e_1, \dots, e_n$  and  $\lambda : E \rightarrow R$  a linear form (in  $E^*$ ). Construct  $K_\bullet(\lambda)$  as follows:

$$K_i = \bigwedge^i E \cong R^{\binom{n}{i}}$$

with  $d_i : K_i \rightarrow K_{i-1}$  given by  $\bigwedge^i E \xrightarrow{d_i} \bigwedge^{i-1} E$ . Then

$$d_i(v_1 \wedge \dots \wedge v_i) = \sum_{j=0}^i (-1)^{j-1} \lambda(v_j) v_1 \wedge \dots \wedge \widehat{v}_j \wedge \dots \wedge v_i$$

where  $\widehat{v}_j$  means we are excluding  $v_j$  from the  $\wedge$ 's.

**Exercise.** (1) If you have two differential forms with  $\omega \in \bigwedge^p E$ , and  $\eta \in \bigwedge^q E$ , then

$$d(\omega \wedge \eta) = d\omega \wedge \eta + (-1)^p \omega \wedge d\eta.$$

(2) Use (1) to show  $d_i \circ d_{i+1} = 0 \forall i$ .

We get a complex

$$0 \rightarrow \bigwedge_R^n E \rightarrow \bigwedge^{n-1} E \rightarrow \dots \rightarrow \bigwedge^2 E \xrightarrow{d} E^{d=\lambda} \rightarrow R \rightarrow R/\text{Im}(\lambda) \rightarrow 0.$$